Docket No.

217551US2PCT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Yasutaka ITO, et al.

SERIAL NO:

New US PCT application based on PCT/JP01/03759

GAU:

FILED:

Herewith

EXAMINER:

FOR:

CERAMIC SUBSTRATE FOR SEMICONDUCTOR FABRICATING DEVICE

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

☑ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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SERIAL NO. ATTY DOCKET NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 (Modified) New US PCT application 217551US2PCT based on PCT/JP01/03759 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Yasutaka ITO, et al. FILING DATE **GROUP** Herewith **U.S. PATENT DOCUMENTS** DOCUMENT **EXAMINER** SUB FILING DATE DATE NAME CLASS INITIAL NUMBER **CLASS** IF APPROPRIATE AA AB AC AD ΑE AF AG ΑH ΑI ΑJ ΑK ΑL AM ΑN FOREIGN PATENT DOCUMENTS **DOCUMENT TRANSLATION** DATE COUNTRY NUMBER YES NO 11-40330 AO 02/12/99 JP No 119990/1992 10/27/92 JΡ AP No AQ AR AS AT ΑU ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) ΑW AX AY ΑZ Additional References sheet(s) attached Examiner **Date Considered** \*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.